

Australian Government

 Australian Research Council



National Intelligence and Security Discovery Research Grants

Progress Reporting in RMS

(User Guide)

Updated July 2024



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Introduction

The Australian Research Council (ARC), on behalf of the Office of National Intelligence (ONI) and the Defence National Security Science and Technology Centre (Defence-NSSTC), is conducting grant management for the National Intelligence and Security Discovery Research Grants (NISDRG) Program.

The NISDRG program supports excellent research that deepens understanding of emerging science and technology and addresses intelligence and national security interests. The grant program will facilitate innovation and develop national security and intelligence capacity. It will also enable Australia's National Intelligence and Security Communities to systematically engage with Australia's research and technology community.

In addition, the NISDRG program provides support to research that aligns with the priority research areas identified by the Australian Government. These research areas are outlined in the Intelligence Challenges, and the National Security Challenges developed under the broader National Security Science and Technology Priorities. More information on the Intelligence Challenges and National Security Challenges are available on the <u>Research Grants website</u>.

To ensure that the NISDRG program achieves the objectives and intended outcomes, all awarded grants are required to provide periodic progress updates against set Program focused Key Performance Indicators (KPIs) as well as performance measures that help demonstrate how a Project is progressing. KPIs must be set for each Project against the standard Program KPIs, and performance measures when the grant commences using a KPI form (KPI Report) in RMS.

Following the acceptance of the KPI Report by RGS, Progress Reports will be submitted twice yearly to advise the progress of the Project against the performance goals set in the KPI Report to reflect the grants achievements. It is important to note that KPIs and progress reporting are only one measure of progress and will be reviewed in context with other reports, outreach, and engagement activities.

All grant management activities, including the submission of variations and grant reporting, must be completed within the Research Management System (RMS) portal associated with the challenges under which the grant was awarded, unless otherwise advised by RGS.

- Intelligence Challenges ONI RMS Portal <u>https://rmsoni.researchgrants.gov.au</u>
- National Security Challenges Defence RMS Portal <u>https://defence.researchgrants.gov.au</u>

Upon submission of a Progress Report by an Administering Organisation (AO), the Research Grants Services (RGS) team will review the submitted report against the targets in the KPI Report. The progress of the Project should reflect the aims, activities and anticipated outcomes as outlined in the original application. Progress Reports will also be made available to ONI and Defence-NSSTC staff.

Note: The KPIs submitted in the original KPI report will not continue past the original grant period. If your grant is extended, or there is a gap in project activity, please respond to the relevant questions in the Progress Report to note significant variances to the original targets.

The following instructions provide information for the entry and submission of Progress Reports into the available RMS report. Screenshots provided within this document have been sourced from the Defence and ONI RMS portals and images may differ slightly dependent on the portal being used.

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Key Points

- The target audience for this user guide is Lead Chief Investigators (CI) and Research Office (RO) staff for NISDRG awarded grants
- KPI's are established by Project teams at the beginning of the Project
- Progress Reports are only one measure used to monitor project progress
- Progress reports will be opened each year for the Lead CI to complete in:
 - February (reporting period for previous July December)
 - August (reporting period for previous January June)
- An AO RO Delegate is required to certify and submit the Progress Report by the due date. Extensions will not be allowed unless approved by the RGS team prior to the due date.
- The RGS team will review the submitted report against the targets in the KPI Report. Progress Reports will also be made available to ONI and Defence-NSSTC staff.

Summary of Workflow

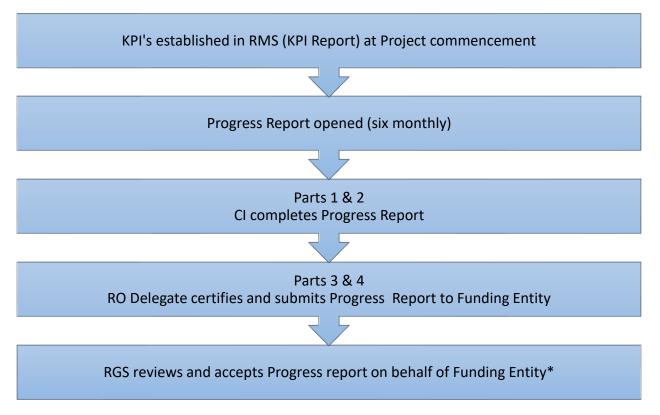


Figure 1: Summary of Workflow

*The RGS team may request amendments prior to acceptance on behalf of the ONI or NSSTC.



Quick Reference

PART 1 – Locate the Progress Report within RMS

- Progress Reports are available within the RMS portal of the funded grant:
 - Intelligence Challenges ONI RMS Portal <u>https://rmsoni.researchgrants.gov.au</u>
 - National Security Challenges Defence RMS Portal <u>https://defence.researchgrants.gov.au</u>

PART 2 – <u>Complete the Progress Report</u>

The Progress Report must be completed by the Lead CI. All Progress Report details for the Project should reflect the aims, activities and anticipated outcomes as outlined in the original application.

• Part A – <u>Research Outputs</u>

This section is designed to capture outputs the Project has produced that are peer and industry reviewed.

• Part B – Grant Personnel

This section is intended to show the Project's ability to build capacity and capability by supporting researchers, fostering research trainees and engaging non-academic participants.

• Part C – Grant Outreach

This category is intended to demonstrate the Project's level of engagement between the research sector and the Australian Government, industry and business, and Australia's National Intelligence and Security Communities.

• Part D – Project Specific Performance Measures

This section of the report is intended to allow each Project to report on the qualitative and quantitative success measures as detailed in the KPI Report.

PART 3 – <u>Submit to the RO</u>

Once all mandatory fields have been completed, and the CI is satisfied that all details have been accurately entered, the report must be submitted to the RO Delegate for review and final submission.

PART 4 – RO Certification and Submission

If edits are required after submission to the Funding Entity, a request to de-submit the Progress Report must be made to the RGS team by emailing <u>arc-nisdrg@arc.gov.au</u>

Note: Hover over this icon ¹ in the Progress Report form for further information and guidance.

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PART 1 – Locate the Progress Report within RMS

The NISDRG Progress Report is available within the RMS portal of the funded grant. The Lead Chief Investigator (CI) listed on the grant will have the ability to view and enter details into the report.

To locate the NISDRG Progress Report:

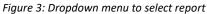
- a. Navigate to the RMS portal for the funded grant
 - ONI RMS Portal <u>https://rmsoni.researchgrants.gov.au</u>
 - Defence RMS Portal <u>https://defence.researchgrants.gov.au</u>
- b. Select the 'Grant Reports' link from the RMS action centre.

Applicant Applications 🔺		1
Create Draft Application		
All Scheme Rounds	✓ Create Draft Application	
Draft Applications		
Request not to Assess		
Applications		
Rejoinders		
Applicant Grant Management 🔺		1
Final Reports Grant Reports		
Person Profile -		1
Personal Details		
Expertise and Fields of Research		
Qualifications		
Employment		
Availability		
Current ONI Grants		
Research Outputs		

Figure 2: 'Grant Reports' link from the RMS Action Centre

c. Select the '*NISDRG Progress Report*' for the applicable period from the dropdown menu.

Report		Status		Search			
NISDRG Progre	ess Report FY 2021 🗸	All Reports	~	Grant / Title / Investigator			
			Showing 1 of 1	grant reports.			
Grant	Chief Investigator	Title			Report Status	Last Status Change	
NI2101000001	Dr Test User	Grant Titile			Draft	07/01/22 10:24 AM	Form
			Showing 1 of 1	grant reports.			



d. Select the 'Form' button to begin completing the report.

Report		Status		Search			
NISDRG Progre	ess Report FY 2021 🗸	All Reports	~	Grant / Title / Investigator			
			Showing 1 of 1	grant reports.			
Grant	Chief Investigator	Title			Report Status	Last Status Change	
NI2101000001	Dr Test User	Grant Titile			Draft	07/01/22 10:24 AM	Form
			Showing 1 of 1	grant reports.			



e. A reporting form will display with form parts and questions to be responded to.

Not ready to submit 🛞				
A Research Outputs (Invalid)	B Grant Personnel (Invalid)	C) Grant Outreach (Invalid)	D) Project Specific Performance Measures (Invalid)	
	Figuro F. A	USDBC Dreares Benert	form parts	

Figure 5: NISDRG Progress Report form parts

PART 2 – Complete the Progress Report

The Progress Report must be completed by the Lead CI prior to submission to the Administering Organisation (AO) for certification. The Progress Report is intended to capture the **current** progress against four specified categories. Actual details must be entered for research outputs, grant personnel engagement, grant outreach activities and project specific performance targets. All Progress Report details for the grant should reflect the aims, activities and anticipated outcomes as outlined in the original application.

Sections of the Progress Report will populate with the targets previously submitted in the KPI Report. The current Progress Report will demonstrate the progress of the Project against those KPI targets. There should not be any double up of activity from one Progress Report to the next.

Each Progress Report should only capture activity during the specified 6 month reporting period.

Mandatory fields within the report will be flagged with a red outline. A response is required in each mandatory field, noting that a zero ('0') must be entered if, for example, an output was not published, or personnel not engaged during the reporting period.

Select 'Save' at the top of the page regularly during the completion of the report.





2.1 Research Outputs

This section is designed to capture outputs the grant has produced that are peer and industry reviewed. The targets advised in the KPI Report will be imported into this section to assist the CI in recording the progress of the Project against the advised targets.

A1. Output Target High-Level

The high-level research output question is intended to capture the targets for all research outputs produced by the Project during the reporting period.

The targets from the **NISDRG KPI Report** are not editable. Once all fields in the *Current Report* section are entered, the red outlined fields of the *NISDRG KPI Report* section will clear.

- a. Under '**Current Report'**, the CI will report the number of Peer Reviewed Outputs, Non-Peer Reviewed Outputs and Data Sets produced by the grant during the reporting period.
- b. A zero should be entered if a research output was not produced.

1. Output Target High-Level			
Provide details of the number of actual of This question must be answered)	putputs for the reporting period		
nna queation muat de anawereu)			
	Year 1	Year 2	Year 3
Peer Reviewed Outputs	2	3	3
Non-Peer Reviewed Outputs	1	2	2
Data Sets	1	2	3
Total	4	7	8
urrent Report			
		January - June 2024	
Peer Reviewed Outputs			
Non-Peer Reviewed Outputs]
Data Sets			
Total			0
Total			0
Total All items must be answered 			0

Figure 7: Question A1 Output Target High-Level

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A2. Output Target Detailed

This question is intended to provide information regarding the targets for specific output categories.

Once again, the targets from the **NISDRG KPI Report** are not editable. Once all fields in the *Current Report* section are entered, the red outlined fields of the NISDRG KPI Report section will clear.

- a. Under '**Current Report'** the CI will report the number of Collaborative (co-authored) research, Outputs directed at the Intelligence or Security community and Outputs made openly available (including resource downloads) produced during the reporting period.
- b. A zero should be entered if an output was not produced.

s question must be answered)	ng datasets) by type for the reporting pe	riod			
	Year 1	Year 2		Year 3	
Collaborative (co-authored) research	1)	2)	3
Outputs directed at Intelligence communities	1		2)	3
Outputs made openly available	1		2		2
Total	3		6		8
	3			2024	8
	3		6 January - June	2024	8
ent Report				2024	8
rent Report Collaborative (co-authored) research				2024	8
rent Report Collaborative (co-authored) research Outputs directed at National [Intelligence/Secur				2024	8

Figure 8: Question A2 Output Target Detailed

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A3. Quality of Research Outputs

The Quality of Research Outputs question is intended to report on the Project's research output quality as determined in the KPI Report. The CI will report on how many outputs met the quality measure within the reporting period.

A minimum of one answer is required.

a. To import the targets from the KPI Report select 'Populate from Previous Report'.

	NISDRG KPI Report			
	Quality Measure	Target	Further Details	
	[KPI Quality Measure will auto populate here]	[KPI Target will auto poulate here]	[Further Details from the KPI report will auto populate here]	
			Populate from Previous Report	
rrent Report J	ul - Dec 2021		Populate from Previous Report	

Figure 9: Question A3 'Populate from Previous Report' button

b. A pop-up confirmation will appear. Select 'OK'. All Quality Measure targets noted in the KPI Report will be imported into the Progress Report.

Copying the Quality of Research Outputs from the previous repr of Research Outputs that have been entered into the Current Re continue?	, , ,
	Cancel

Figure 10: Pop-up confirmation to continue

c. Complete the question '*How many outputs met the quality measure within the reporting period?*' for each measure.

urrent Report Jul - Dec 2021
Quality Measure
[KP] Quality Measure will auto populate here]
22 characters. 200 characters maximum.
Target for Quality Measure
[KPI Target will auto poulate here]
3 characters. 200 characters maximum.
Further Details
[Further Details from the KPI report will auto populate here]
27 characters. 200 characters maximum.
How many outputs met the quality measure within the reporting period?

Figure 11: Quality Measure Answer box

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A4. Output Issues

This question is designed to inform the Funding Entity of any issues encountered with publications or the production of outputs of the research findings.

- a. Select the drop-down box.
- b. Select either 'Yes' or 'No'.

If 'No' is selected, please provide details of the issues encountered.

A4. Output Issues (This question must be answered)	
Are your actual outputs on track with your targets?	
	~
This item must be answered	
Detail of Output Issues	
0 characters. 2000 characters maximum.	le

Figure 12: Question A4 Output Issues Question

A5. Publications During the Reporting Period

The CI is asked to provide advice of any peer reviewed outputs that have been published <u>within the</u> <u>reporting period</u>, including the Title, Type of Publication, Intended place of Publication and a link to the publication. Only brief details of the publication should be entered in this section.

A full list of publications will also be required in the Project's Final report.

This is a Yes/No question. When Yes is selected additional fields will become visible.

a. Select the drop-down box. Select either 'Yes' or 'No'.

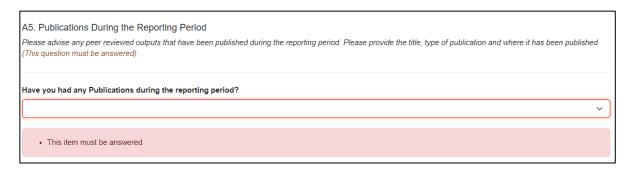


Figure 13: Question A5 drop-down selection

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b. If 'Yes' is selected at least one publication will be required. Select 'Add answer'.

A5. Publications During the Reporting Period
Please advise any peer reviewed outputs that have been published within the six months of the reporting period. Please provide the title, type of publication and where it will be published. (This question must be answered)
Have you had any Publications during the reporting period?
Yes
A minimum of 1 answer is required
Add answer

Figure 14: Select 'Add answer' to add more publications

c. Publication detail questions will appear. Provide details of the publication and upload a PDF copy of, or link to the publication.

haracters. 200 characters maximum. This item must be answered anded place of Publication haracters. 200 characters maximum.	Title of Publication	×
This Item must be answered e of Publication haracters. 200 characters maximum. This Item must be answered ended place of Publication haracters. 200 characters maximum.		
e of Publication haracters. 200 characters maximum. • This Item must be answered ended place of Publication haracters. 200 characters maximum.	0 characters. 200 characters maximum.	
haracters. 200 characters maximum. This item must be answered anded place of Publication haracters. 200 characters maximum.	This item must be answered	
This item must be answered anded place of Publication haracters. 200 characters maximum.	Type of Publication	
anded place of Publication haracters. 200 characters maximum.	0 characters. 200 characters maximum.	
haracters. 200 characters maximum.	This item must be answered	
	Intended place of Publication	
py of, or link to Publication	0 characters. 200 characters maximum.	
	Copy of, or link to Publication	
Choose File No file chosen Upload	Choose File No file chosen	Upload
d answer	Add answer	

Figure 15: Details of the publication

d. If more than one publication has been published, select '*Add answer*' again and provide additional publications.

ntended place of publication	
) characters. 200 characters maximum.	
Add answer	
	characters. 200 characters maximum.

Figure 16: Select' Add answer' to add more publications

e. If 'Add answer' has been selected in error, select the cross to the right of *Title of Publication* and the specific questions will disappear.

Title of Publication	~
0 characters. 200 characters maximum.	

Figure 17: Select the cross to remove an answer

A6. Upcoming Publications

The CI is asked to provide advice of any outputs that will be published within the <u>next six months</u> of the reporting period, including the Title, Type of Publication and Intended place of Publication. This section is to alert the Funding Entity that an output is due to be published. Only brief details of the publication should be entered in this section. A full list of publications will be required in the Project's Final report. This is a Yes/No question. When Yes is selected additional fields will become visible.

a. Select the drop-down box. Select either 'Yes' or 'No'.

A6. Upcoming Publications	
Please advise any peer reviewed outputs that will be published within the next six months. Please provide the title, type of publication and where it will be published. (This question must be answered)	
Do you have upcoming Publications?	
	~
Yes	

Figure 188: Question A6 drop-down selection

b. If 'Yes' is selected at least one publication will be required. Select 'Add answer'.

A6. Upcoming Publications Please advise any peer reviewed outputs that will be published within the next six months. Please provide the title, type of publication and where it will be published. (This question must be answered)	
Do you have upcoming Publications? Yes	~
A minimum of 1 answer is required	
Add answer	

Figure 1199: Select 'Add answer' to add more upcoming publications

c. Publication detail questions will appear. Provide details of the upcoming publication.

le of Publication	
haracters. 200 characters maximum.	
This item must be answered	
pe of Publication	
haracters. 200 characters maximum.	
This item must be answered	
ended place of Publication	
haracters. 200 characters maximum.	
py of, or link to Publication	
Choose File No file chosen	Upload
d answer	

Figure 20: Details of an upcoming publication

d. If more than one publication will be published, select '*Add answer*' again and provide additional details.

Intended place of publication
0 characters. 200 characters maximum.
Add answer

Figure 21: Select' Add answer' to add more upcoming publications

e. If 'Add answer' has been selected in error, select the cross to the right of *Title of Publication* and the specific questions will disappear.

Title of Publication	×
0 characters, 200 characters maximum.	

Figure 22: Select the cross to remove an answer

f. Select 'Save'. It is a good idea to save regularly throughout the completion of the report.

Save	PDF 🛃	Close

Figure 23: Select 'Save' at the end of each part

g. Part A will display in green once all questions are completed.



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2.2 Grant Personnel

This section is intended to show the Project's ability to build capacity and capability by supporting researchers, fostering research trainees and engaging non-academic participants.

B1. Grant Personnel

This section will import the targets from the KPI Report. The CI will report the number of **new** personnel the grant has supported during the reporting period (i.e. if an individual is engaged in year 1 and continues through to grant completion, a '1' should be entered in the first Progress Report only).

A zero should be entered if a there are <u>no **new**</u> personnel.

The targets from the **NISDRG KPI Report** are not editable. Once all fields in the *Current Report* section are entered, the red outlined fields of the *NISDRG KPI Report* section will clear.

- a. Under *Current Report* the CI will report the number of <u>new</u> personnel employed during the reporting period.
- b. A zero should be entered if there are no new personnel.

Part B - Grant Personnel					
B1. Grant Personnel Provide details of the individuals to be supported by the project for the period					
(This	question must be answered)				
NISD	RG KPI Report				
		Year 1	Year 2	Year 3	
	Honours Student	0	0	0	
	Higher Degree Research Student	1	1	0	
	Post-Doctoral Fellow	1	0	0	
	Non-Academic Participants	1	1	1	
	Total	3	2	1	
Curr	Current Report				
			Jul - Dec 2021		
	Honours Student				
	Higher Degree Research Student				
	Post-Doctoral Fellow				
	Non-Academic Participants				
	Total			0	
	All items must be answered				

Figure 25: Question B1 Grant Personnel

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B2. Grant Personnel Departures

This section is used to inform the number of personnel who have left the Project during the reporting period.

- a. All fields should be completed as a numeral and reflect the number of personnel who have left the grant during the reporting period.
- b. A zero should be entered if no personnel left the grant.

B2. Grant Personnel Departures				
Please advise the number of people who have left the grant during the reporting period.				
Honours Student				
Enter Item Type				
This item must be answered				
Higher Degree Research Student				
Enter Item Type				
This item must be answered				
Post-Doctoral Fellow				
Enter Item Type				
This item must be answered				
Non-Academic Participants				
Enter Item Type				
This item must be answered				

Figure 26: Question B2 Grant Personnel Departures

B3. Recruitment

This question is designed to inform the Funding Entity the current state of the projects recruitment activities.

a. Please describe the projects current state of recruitment of funded personnel as detailed in your budget and/or recruitment plan.

Figure 27: Question B3 Recruitment

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B4. Personnel Issues

This question is designed to inform the Funding Entity any issues encountered with recruitment and/or retaining personnel.

a. Select the drop-down box. Select either 'Yes' or 'No'.
 If 'Yes' is selected, please provide details of the issues encountered.

B3. Personnel issues	
(This question must be answered)	
Has the project encountered any challenges with recruiting or retaining personnel?	
Yes	~
Detail of personnel challenges	
0 characters. 2000 characters maximum.	1.
This item must be answered	

Figure 28: Question B3 Personnel Issues

b. Select 'Save'. It is a good idea to save regularly throughout the completion of the report.



Figure 29: Select 'Save' at the end of each part

c. Part B will display in green once all questions have been completed.

A Researct	Outputs B	Grant Personnel	c	Grant Outreach (Invalid)	D	Project Specific Performance Measures (Invalid)

Figure 30: Part B completed

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2.3 Grant Outreach

This category is intended to demonstrate the grant's level of engagement between the research sector and the Australian Government, industry and business, and Australia's National Intelligence and Security Communities.

In this section the CI is asked to provide information on any additional funding that has been applied for or has been received for this Project, or a closely related project, to assist in reaching the projects research goals.

C1. Grant Outreach

This section will import against the Grant Outreach targets from the KPI Report.

The targets from the **NISDRG KPI Report** are not editable. Once all fields in the *Current Report* section are entered, the red outlined fields of the *NISDRG KPI Report* section will clear.

- a. Under *Current Report*, the CI will report the number of outreach activities achieved during the reporting period in the following categories: Keynote Presentations, Briefings to Research Science and Technology Community, Briefings to Government*, and Briefings to Industry and Business.
- b. A zero should be entered if a grant outreach KPI was not achieved in the reporting period.

*Note: Briefings to Government includes updates provided during site visits by, or meetings (including phone conversations and video conferences) regarding research progress, with the Funding Entity as well as any other correspondence where you provide an update to the Funding Entity. If you have a significant breakthrough or output, the Funding Entity will be keen to hear about it outside of the normal reporting periods.

1/5	de details of grant outreach question must be answered)				
ISD	RG KPI Report (Closed: 24/05/2022 10:00 AM)				
		Year 1	Year 2		Year 3
	Keynote Presentations	1		1	:
	Briefings to Research Science and Technology Community	1		1	
	Briefings to Government	1		1	
][
	Briefings to Industry and Business	1		1	
	Briefings to Industry and Business Total	1		1	
urre	Briefings to Industry and Business)	1 4 Jan - Jun 2022	
urre	Briefings to Industry and Business Total)		
urre	Briefings to Industry and Business Total				
urre	Briefings to Industry and Business Total Int Report Keynote Presentations				
urre	Briefings to Industry and Business Total ant Report Keynole Presentations Briefings to Research Science and Technology Community				

Figure 31: Question C1 Grant Outreach

C2. Outreach Issues

This question is designed to inform the Funding Entity of any issues encountered with Outreach activities.

- a. Select the drop-down box.
- b. Select either 'Yes' or 'No'.

If 'No' is selected, please provide details of the issues encountered.

C2. Outreach Issues	
(This question must be answered)	
Is your Outreach on track with your targets?	
	~
This item must be answered	
Detail of Outreach Issues	
0 characters. 2000 characters maximum.	

Figure 32: Question C2 Outreach Issues

C3. Additional Funding

This question is designed to inform the Funding Entity of any additional funding that has been received during the reporting period. Additional funding is funding that was not listed in the application. This could be from a new organisation or additional funding from a current organisation involved in the Project.

- a. Select the drop-down box.
- b. Select either 'Yes' or 'No'.
- c. If 'Yes' is selected, please provide details of the:
 - funding source
 - funding amount
 - what the funds will be used for.

C3. Additional Funding 🕕	
Select the drop-down box. Select either 'Yes' or 'No	'. If Yes is selected provide details of the:
funding source	
funding amount	
what the funds will be used for.	
f you have received funding for another project that	is closely related to this project, and the funding will impact the completion of this project please provide the details of i
unding noting how the projects are related.	
This question must be answered)	
lave you received additional funding during the	reporting period?
lave you received additional funding during the	reporting period?
	reporting period?
tave you received additional funding during the	reporting period?
This item must be answered	
This item must be answered	

Figure 33: Question C3 Additional Funding

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Note: If additional funding is received from a current organisation a POACR should be submitted. If additional funding is from a new partner organisation a variation should also be submitted.

If the funding is for a closely related project describe how the projects are related and how the funding will impact on the outcomes of this funded project.

C4. Future Additional Funding

This question is designed to inform the Funding Entity of any additional funding that has been applied for and/or has been successfully secured, that will be paid in a future year and that will be used to achieve the projects research goals. Additional funding is funding that was not listed in the application. This could be from a new partner organisation or additional funding from a current partner organisation.

- a. Select the drop-down box.
- b. Select either 'Yes' or 'No'.
- c. If 'Yes' is selected, please provide details of the:
 - funding source
 - funding amount
 - what the funds will be used for.

Note: If additional funding is received from a current organisation a POACR should be submitted

If the funding is for a closely related project describe how the projects are related and any impact on the outcomes of this funded project.

C4. Future Additional Funding ()	
Select the drop-down box. Select either 'Yes' or 'No'. If Yes is selected provide details of the: • funding source • funding amount • what the funds will be used for.	
If you are or have applied for funding for another project that is closely related to this project, and the funding will impact the completion of this project please provide the details of this funding noting how the projects are related. (This question must be answered)	
During the reporting period have you applied for, or been granted funding to be paid in a future reporting period?	
	~
This item must be answered	
Please provide details of any funding requested or granted.	
0 characters. 2000 characters maximum.	
0 characters. 2000 characters maximum. Figure 34: Question C4, Future Additional Funding	

d. Select 'Save'. It is a good idea to save regularly throughout the completion of the report.



Figure 35: Select 'Save' at the end of each part

e. Part C will display in green once all mandatory fields have been completed.

A Research Outputs	B Grant Personnel	C Grant Outreach	D Project Specific Performance Measures (Invalid)

Figure 36: Part C completed

2.4 Project Specific Performance Measures (formerly Grant Specific KPIs)

This section of the report is intended to allow each Project to report on the qualitative and quantitative success measures as outlined in the KPI Report. It is also intended to report on the progress against the Challenges selected in the application and report any issues encountered during the reporting period.

Please note: within Part D the following terminology will be used interchangeably

- KPI with Performance Measure
- Grant with Project.

D1. Specific Performance Measures (KPIs)

Project Specific Performance Measures allow the CI to report on the specific qualitative and/or quantitative measures advised in the KPI Report. This section will import the targets from the KPI Report.

a. To import the measure types advised in the KPI Report select '*Populate from Previous Report'*.

ISDRG KPI Report			
Specific KPI	Description	Measure Type	Actio
[KPI title will auto populate here]	[KPI description will auto populate here]	Quantitative KPI Measure	Show
[KPI title will auto populate here]	[KPI description will auto populate here]	Qualitative KPI Measure	Shov
[KPI title will auto populate here]	[KPI description will auto populate here]	Qualitative KPI Measure	Show
[KPittle will auto populate here]	[KPI description will auto populate here]	Qualitative KPI Measure	Shov
[KPI title will auto populate here]	[KPI description will auto populate here]	Qualitative KPI Measure	Show
[KPI title will auto populate here]	[KPI description will auto populate here]	Quantitative KPI Measure	Show

Figure 37: Select 'Populate from Previous Report' button

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b. A pop-up confirmation will appear. Select 'OK'.

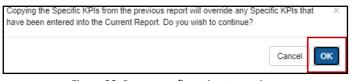


Figure 38: Pop-up confirmation to continue

- c. Each measure type selected in the KPI Report will create a question to be answered for either a Qualitative or Quantitative measure.
- d. For a Quantitative performance measure enter a Value to report for the period.

irrent Report Jan - Jun 2022				
Performance Measure				
[KPI title will auto populate here]				1
characters. 200 characters maximum.				
[KPI description will auto populate here]				
167 characters. 200 characters maximum.				
107 characters, 200 characters maximum,				
Success Measure Type				
Quantitative KPI Measure				,
Quantitative KPI Measure				
Quantitative KPI measure				
Year 1	Year 2		Year 3	
	3	2		1
Quantitative measure value for period				
This item must be answered				

Figure 39: Quantitative Measure

e. For a Qualitative measure enter a comment to report progress for the period.

Qualitative KPI Measure
Year 1
[KPI target will auto populate here]
67 characters. 200 characters maximum.
Year 2
[KPI target will auto populate here]
42 characters. 200 characters maximum.
Year 3
[KPi target will auto populate here]
43 characters. 200 characters maximum.
Qualitative measure value for period
0 characters. 200 characters maximum.
This item must be answered

Figure 40: Qualitative Measure

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- f. Select the drop-down box to advise if the measure has or has not been met, in progress or not commenced.
- g. If further information would be useful in interpreting the Performance Measures, a PDF of up to **one page** can be attached. Please select 'Choose file' and then 'Upload'.

Has the Measure been met for the reporting period?	
	~
This item must be answered	
Is there any further information that would be useful in interpreting the KPI?	
Choose File No file chosen	Upload

Figure 41: Upload a PDF

D2. Provide a high-level update on the progress of the research Project

This question asks for a high-level update on the progress of the research. You can also include information about achievements against any relevant challenges here.

Please upload a maximum two page pdf.

D2. Provide a high level update on the progress of the research project	
Please upload a PDF of up to two pages (This question must be answered)	
Choose File No file chosen	Upload
This item must be answered	

Figure 42: Question D2 Project update

D3. Highlights

This question asks for up to three highlights or milestones the Project has experienced during the reporting period.

An example of a highlight of the Project could be the successful engagement of personnel or an outcome of the research has been achieved. At least one highlight should be provided for the Project. Select 'Add answer' to provide details of these highlights.

Note: Add Answer should be selected for each Highlight.

a. Select 'Add answer'

D3. Highlights Provide up to three highlights of this Activity for the reporting period.
A minimum of 1 answer is required
Add answer

Figure 43: Select 'Add answer' to report a Project highlight

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- b. Each highlight should be detailed in separate answer boxes.
- c. Select 'Add answer' for each additional highlight.

D3. Highlights	
Provide up to three highlights of this Activity for the reporting period.	
Highlight	×
0 characters. 1500 characters maximum.	
This item must be answered	
Add answer	

Figure 44: Report Project highlights

D4. Significant Issues

This question asks for information on any issues the Project has experienced during the reporting period.

This is a Yes/No question. When '*Yes*' is selected, a comment box will become visible to provide details of the issues.

a. Select 'Yes' or 'No' from the drop-down selection.

D4. Significant Issues (This question must be answered)	
Were there any significant issues that affected the grant during the reporting period?	
	~
Yes	
No	

Figure 45: Question D4 drop-down selection

b. When '*Yes*' is selected a *Details of Significant Issues* description box will appear. Please provide details of the issues encountered during the reporting period.

D4. Significant Issues	
(This question must be answered)	
Were there any significant issues that affected the grant during the reporting period?	
Yes	~
Details of Significant Issues	
0 characters. 2000 characters maximum.	
This item must be answered	

Figure 46: Question D4 Report Significant Project issues

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c. Select '*Save*'. It is a good idea to save regularly throughout the completion of the report.



Figure 47: Select 'Save' at the end of each part

D5. Were there any security issues or incidents during the reporting period?

Enter the details of any security or foreign interference incidents.

- a. From the drop down list select 'Yes' or 'No'
- b. When 'Yes' is selected the Details of the security issue or incident field will become visible.

D5. Were there any security issues or incidents during the reporting period?	
(This question must be answered)	
	~
This item must be answered	
If Yes, Please provide details of the issue or incident	
0 characters. 200 characters maximum.	

Figure 48: Security Issues or incidents

D6. Activity Budget

An Activity Budget template located in the Research Grants <u>Resource Hub</u> must be uploaded to advise the funding entity any changes to the activity plan and budget since the proposal was submitted.

Notes for completing the Activity Budget:

- a. The Activity Budget for each category should be the same as the proposal submission
- b. Where a Budget Variation request has been approved for a category, the category budget should be updated with the variation details in the comments field.
- c. Year one of the budget starts on the Project commencement date and ends 12 months after.
- d. Years two and three of the budget starts on the anniversary of the project commencement date and ends 12 months after.

Note: You may report a full years budget across multiple project years. For example, if your project commenced in October Year 1 you will report expenditure in the Year 1 Budget/Actual until October the following year.

- e. All positions budgeted or held by Personnel paid by project funding should be listed individually under the Personnel Category (Names are not required).
- f. A comment should be entered for all budget categories where the budget has significantly deviated from the budget, including planned action (e.g. how funding will be spent by the budget by the project end date).

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Upload the completed Activity Budget noting the changes from the Budget to Actual.

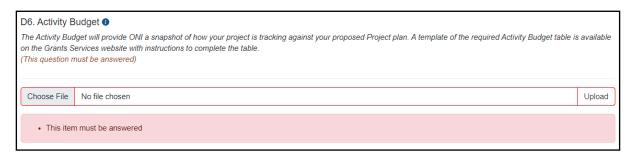


Figure 49: Question D6 Activity Budget



Figure 50: Select 'Save' at the end of each part

g. Part D will display in green once all questions have been completed.

Ready to submit ⊘		
A Research Outputs B Grant Personnel	C Grant Outreach D Project Specific Performance Measures	l

Figure 51: All NISDRG Progress Report form parts completed and valid

PART 3 – Submit to the Research Office

Once all mandatory fields have been completed, and the first named CI of the grant is satisfied that all details have been entered, the report must be submitted to the grant AO Research Office (RO) for review and final submission.

To submit a completed report to the RO:

a. Ensure that all mandatory fields within the report have been completed and that the '*Ready to Submit*' message appears at the top of the report.

Ready to submit 🥥				
A Research Outputs	B Grant Personnel	C) Grant Outreach	D) Project Specific Performance Measures	

Figure 52: 'Ready to Submit' message

b. Return to the Grant Reports menu by selecting the '*Grant Reports*' link at the top of the page.



Γ	Action Centre / Grant Reports / NISDRG Progress Report
	Ready to submit 🤗
l	A Research Outputs B Grant Personnel C Grant Outreach D Project Specific Performance Measures

Figure 53: 'Grant Reports' link

c. Locate the relevant report and select the 'Submit to RO' button.

Report		Status		Search			
NISDRG Prog	ress Report FY 202 🗸 🗸	All Reports	~	Grant / Title / Invest	igator		
			Showing 1 of 1	grant reports.			
Grant	Chief Investigator	Title		Re	eport Status	Last Status Change	
NI2101000001	Dr Test User	Grant Title		Re	eady to Submit		Form Submit to RO

Figure 54: 'Submit to RO' button

d. Confirm submission of the Progress Report by selecting 'Submit to RO' in the pop-up message.

Submit NISDRG Progress Report FY 2021 / 2022 July - December for NI2101000001 to x Research Office?					
	Submit to RO	Cancel			

Figure 55: Confirm Submission to RO

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PART 4 – Research Office Certification and Submission

The submission of the Progress Report forms part of the Agreement between the AO and Funding Entity to provide periodic progress updates against set KPIs and Performance Measures. Therefore, each answer should be reviewed to ensure that the reported progress closely aligns with the key benefits identified in the Project Plan, and the aims, activities and anticipated outcomes as outlined in the original application. Upon confirmation that the entered details are agreeable to the AO, the Progress Report must be certified by an RO Delegate and submitted to the Funding Entity for review.

4.1 To review the details entered in a Progress Report

a. Navigate to the appropriate RMS portal for the funded grant.

ONI RMS Portal - <u>https://rmsoni.researchgrants.gov.au</u> Defence RMS Portal - <u>https://defence.researchgrants.gov.au</u>

b. Select the '*Research Office Grant Reports*' link within the '*Research Office Grant Management*' section of the RMS action centre.

Research Office Grant Management +	1
Research Office Grants Research Office Grant Reports Research Office Final Reports	

Figure 56: 'Research Office Grant Reports' link from the RMS Action Centre

c. Locate the report to be reviewed using the filters provided and select 'Form'.

Draft Applications	Request not to Asse	ss Applications Re	joinders Grants Variations	Monitoring	g Reports	Final Reports		
Report		Program	Scheme		Scheme Ro	ound	Status Change	
NISDRG Progress F	Report FY 202 🗸 🗸	Select 🗸 🗸	Select	~	Select	- ~	30 Days	~
Status		Search						
All Reports	~	Grant / Title / Investigator						
Bulk Submission								
Select All Deselect All	Submit Selected Reports	to Delegate Submit Selected R	teports to Defence					
			Showing 1 of 1 grant reports.					
Grant Sele	ct Chief Investigato	r Title	Report Status	Last Status Change	•			
NI2101000001	Dr Test User	Grant Title	Submitted to Research Office		Details	Form Return to	Draft Submit to RO I	Delegate

Figure 57: 'Form' button to open a report for review

- d. Review the details entered in the Progress Report.
- e. If edits are required, return the Progress Report to the lead CI see Section 4.2.
- f. If the Progress Report is acceptable to the RO, submit the Report to the RO Delegate see <u>Section 4.3</u>.

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4.2 Returning a Progress Report to a Lead CI

a. Return to the list of available RO grant reports by selecting the '*Research Office Grant Reports*' link.

Action Centre / Research Office Grant Reports / NISDRG Progress Report
Ready to submit @
A Research Outputs B Grant Personnel C Grant Outreach D Grant Specific KPIs

Figure 58: 'Research Office Grant Reports' link

b. Locate the report to be returned and select 'Return to Draft'.

Draft Applications Request not to Ass	ess Applications Rejoi	nders Grants Variations	Monitoring Repor	ts Final Reports	
Report	Program	Scheme	Schem	e Round	Status Change
NISDRG Progress Report FY 202 V	Select 🗸 🗸	Select	✓ - Se	elect - 🗸 🗸	30 Days 🗸
Status	Search				
All Reports 🗸	Grant / Title / Investigator				
Bulk Submission					
Select All Deselect All Submit Selected Report	s to Delegate Submit Selected Rep	orts to Defence			
		Showing 1 of 1 grant reports.			
Grant Select Chief Investigat	or Title	Report Status	Last Status Change		
NI2101000001 Dr Test User	Grant Title	Submitted to Research Office	De	etails Form Return to	Draft Submit to RO Delegate

Figure 59: 'Return to Draft' button

4.3 To certify and submit a Progress Report to the Funding Entity

- a. Return to the list of available RO grant reports by selecting the 'Research Office Grant *Reports*' link (Figure 54).
- b. Locate the report to be submitted and select 'Submit to RO Delegate'.

Draft Applications Request not to Ass	ess Applications Rejo	inders Grants Variations	Monitoring Reports	Final Reports	
Report	Program	Scheme	Scheme	Round	Status Change
NISDRG Progress Report FY 202 V	- Select 🗸	Select	✓ - Sele	ct 🗸 🗸	30 Days 🗸
Status	Search				
All Reports 🗸	Grant / Title / Investigator				
Bulk Submission					
Select All Deselect All Submit Selected Report	s to Delegate Submit Selected Rep	ports to Defence			
		Showing 1 of 1 grant reports.			
Grant Select Chief Investigat	or Title	Report Status	Last Status Change		
Ni2101000001 Dr Test User	Grant Title	Submitted to Research Office	Deta	IIS Form Return to I	Draft Submit to RO Delegate

Figure 60: 'Submit to RO Delegate' button

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c. Confirm submission to the RO Delegate by selecting the 'Submit to RO Delegate' button.



Figure 61: Confirm submission to RO Delegate

- d. The report must be certified by the RO Delegate. The **<u>RO Delegate</u>** will do this by:
 - i. Navigating to the 'Research Office Grant Reports' page
 - ii. Locating and reviewing the report
 - iii. Selecting the '*Certify*' button.

в	ulk Subr	mission								
\$	Select All	Deselect All	Submit Selected Reports to	Delegate	Submit Selected Reports to Defence					
					Showing 1 of	1 grant reports.				
	Grant	Sele	ct Chief Investigator	Title		Report Status	Last Status Change			
_	Grant	0010	et enter investigator	nue		Report Status	Change			
	NI21010000	101	Dr Test User	Grant Title	ð	Submitted to RO Delegate		Details Form	Certify	Return to Research Office
_										

Figure 62: 'Certify' button

e. Confirm RO Delegate certification by selecting 'Agree to Certification'.

Certify NISDRG Progress Report FY 2021 / 2022 July - December	×
Certification by the Research Office, on behalf of the Deputy/Pro Vice-Chancellor (Research) or equivalent in the Administering Organisation.	
I certify that all Progress Report details entered have been reviewed and are agreeable to the Administering Organisation.	
Agree to certification Can	cel

Figure 63: Certification message

f. Finalise submission by selecting the 'Submit to [Funding Entity]' button.

Bulk Subr	nission									
Select All	Deselect All	ubmit Selected Reports to	Delegate Submit S	Selected Reports to Defence						
				Showing 1 of	1 grant report	S.				
					Report	Last Status				
Grant	Select	Chief Investigator	Title		Status	Change				
NS2101000	001	Dr Test User	Grant Title	(Certified		Details	Form	Return to Research Office	Submit to Defence

Figure 64: 'Submit to [Funding Entity]' button

If additional edits are required once the progress report has been submitted to the funding entity, the RO must contact the RGS team at arc-nisdrg.gov.au to request the return of the report.

Once the report has been reviewed and it is deemed acceptable by RGS and the funding entity it will be accepted in RMS. If additional information is required, the RGS team will contact the RO.



Glossary and Definitions

Definitions

Term	Definition
Activity or budget Plan	A table advising the projects proposed budget to actual expenditure.
Administering Organisation (AO)	An Eligible Organisation responsible for the administration of the grant.
Australian National Intelligence	Comprises the six agencies that formerly made up the Australian Intelligence Community (AIC):
<u>Community</u>	• ONA
	• the Australian Signals Directorate (ASD)
	• the Australian Geospatial-Intelligence Organisation (AGO)
	• the Australian Secret Intelligence Service (ASIS)
	• the Australian Security Intelligence Organisation (ASIO)
	the Defence Intelligence Organisation (DIO)
	as well as the Australian Criminal Intelligence Commission (ACIC) and the intelligence functions of the Australian Federal Police (AFP), Australian Transaction Reports and Analysis Centre (AUSTRAC) and The Department of Home Affairs.
Australian National	Comprises the:
Security Community	Department of Defence
	Department of Home Affairs
	Office of National Intelligence
	Department of Home Affairs
	Department of Foreign Affairs and Trade
	Prime Minister and Cabinet
	• Department of Industry, Science, Energy and Resources.
Funding Entity	Either the Department of Defence-NSSTC, or Office of National Intelligence.
Intelligence Challenges	Those challenge areas aligned with the National Security Science and Technology Priorities identified by the Australian Government to be funded by the ONI, and available on the <u>RGS website</u> .
Key Performance Indicators (KPIs) and/or Performance Measures	A set of quantitative and/or qualitative measures that We use to monitor and report on progress of research outcomes.

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Term	Definition
Activity or budget Plan	A table advising the projects proposed budget to actual expenditure.
Lead Chief Investigator (CI)	The first named investigator of a Project.
National Security Science and Technology Centre (Defence – NSSTC)	The Department of Defence National Security Science and Technology Centre within the Defence Science and Technology (DST) Group that coordinates whole of government national security science and technology.
National Security Challenges	Those challenge areas aligned with the National Security Science and Technology Priorities identified by the Australian Government to be funded by the Defence – NSSTC, and available on the <u>RGS website</u> .
Progress Report	A report submitted in RMS advising the progress of the grant against Key Performance Indicators (KPIs).
Project	An application approved by the ONI Delegate or the Department of Defence-NSSTC Delegate to receive funding, may also be referred to as a Grant.
POACR	Participating Organisation Agreed Contribution Report
Reporting Period	The period of the report, January to June or July to December.
Research Office (RO)	A business unit within an Eligible Organisation that is responsible for contact with Us regarding applications and projects.
Research output	All products of a research project.
Us/We	The Australian Research Council.

Glossary

Acronym	Elaboration
AO	Administering Organisation
ARC	Australian Research Council
СІ	Chief Investigator
КРІ	Key Performance Indicator
Defence - NSSTC	Department of Defence, National Security Science and Technology Centre
ONI	Office of National Intelligence
NISDRG	National Intelligence and Security Discovery Research Grants
RGS	Research Grants Services team
RMS	Research Management System

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Acronym	Elaboration
RO	Research Office

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